

**IN THE UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF GEORGIA  
ATLANTA DIVISION**

MARCIA G. FLEMING, individually, as  
representative of a class of participants and  
beneficiaries of the Rollins, Inc. 401(k)  
Savings Plan and Western Industries  
Retirement Savings Plan,

Plaintiffs,

v.

CASE NO. 1:19-cv-05732-WMR

ROLLINS, INC., AS ADMINISTRATOR OF  
THE ROLLINS, INC. 401(K) SAVINGS  
PLAN; WESTERN INDUSTRIES NORTH,  
AS ADMINISTRATOR OF THE WESTERN  
INDUSTRIES RETIREMENT SAVINGS  
PLAN; THE ADMINISTRATIVE  
COMMITTEE OF THE ROLLINS, INC.  
401(K) SAVINGS PLAN; THE  
ADMINISTRATIVE COMMITTEE OF THE  
WESTERN INDUSTRIES RETIREMENT  
SAVINGS PLAN; THE INVESTMENT  
COMMITTEE OF THE ROLLINS, INC.  
401(K) SAVINGS PLAN; THE  
INVESTMENT COMMITTEE OF THE  
WESTERN INDUSTRIES RETIREMENT  
SAVINGS PLAN; AND JOHN DOES 1-30,

Defendants.

**DEFENDANTS' CERTIFICATE OF INTERESTED PERSONS AND  
CORPORATE DISCLOSURE STATEMENT**

(1) The undersigned counsel of record for a party to this action certifies that the following is a full and complete list of all parties in this action, including any parent corporation and any publicly held corporation that owns 10% or more of the stock of a party:

- a. Marcia G. Fleming, individually, as representative of a class of participants and beneficiaries of the Rollins, Inc. 401(k) Savings Plan and Western Industries Retirement Savings Plan (Plaintiff);
- b. Rollins, Inc. (Defendant);
- c. Western Industries-North, LLC (Defendant).<sup>1</sup>

(2) The undersigned further certifies that the following is a full and complete list of all other persons, associations, firms, partnerships, or corporations having either a financial interest in or other interest which could be substantially affected by the outcome of this particular case:

- a. Entities named as Defendants in the Complaint but not served:
  1. The Administrative Committee of the Rollins, Inc. 401(k) Savings Plan;
  2. The Administrative Committee of the Western Industries Retirement Savings Plan;

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<sup>1</sup> Western Industries-North, LLC is a wholly owned subsidiary of Rollins, Inc., which is a public company. There is no publicly traded entity that owns at least 10 percent of Rollins, Inc. stock.

3. The Investment Committee of the Rollins, Inc. 401(k) Savings Plan;<sup>2</sup>
  4. The Investment Committee of the Western Industries Retirement Savings Plan.<sup>3</sup>
- b. Individual members of the aforementioned Administrative Committees during the relevant period:
1. Eddie Northen
  2. John Wilson
  3. Jerry Gahlhoff
  4. James Benton

(3) The undersigned further certifies that the following is a full and complete list of all persons serving as attorneys for the parties in this proceeding:

- a. Paul J. Sharman, The Sharman Law Firm LLC
- b. Jon D. Pels, Pels Law Firm
- c. W. Bard Brockman, Bryan Cave Leighton Paisner LLP
- d. Michael P. Carey, Bryan Cave Leighton Paisner LLP
- e. Ann W. Ferebee, Bryan Cave Leighton Paisner LLP
- f. Jeffrey S. Russell, Bryan Cave Leighton Paisner LLP (*pro hac vice* pending)
- g. Meredith Jacobowitz, Bryan Cave Leighton Paisner LLP (*pro hac vice* pending)

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<sup>2</sup> The Investment Committee of the Rollins, Inc. 401(k) Savings Plan does not exist.

<sup>3</sup> The Investment Committee of the Western Industries Retirement Savings Plan does not exist.

Respectfully submitted this 20th day of April, 2020.

**BRYAN CAVE LEIGHTON PAISNER  
LLP**

/s/ W. Bard Brockman

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*Attorney for Rollins, Inc. and Western  
Industries-North, LLC*

**CERTIFICATE OF SERVICE**

I hereby certify that on this date, I electronically filed the foregoing **CERTIFICATE OF INTERESTED PERSONS AND CORPORATE DISCLOSURE STATEMENT** with the Clerk of Court using the CM/ECF system which will send email notification of such filing to all counsel of record.

This 20th day of April, 2020.

/s/ W. Bard Brockman

W. Bard Brockman

Georgia Bar No. 084230

*Attorney for Rollins, Inc. and Western  
Industries-North, LLC*